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Docket No. 2000-015R1  
**PATENT**

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on 4-18-01

BY: Renee Lamantia  
Renee Lamantia

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Diamond et al.

Serial No.: 09/781,104

Group Art Unit: 1754

Filed: 02/08/01

Examiner: Unknown

For: CATALYSTS, METAL COMPLEXES,  
COMPOSITIONS AND ARRAYS  
CONTAINING ERBIM

Assistant Commissioner for Patents  
Washington D.C. 20231

TRANSMITTAL LETTER

Sir:

Transmitted herewith (check all that apply):

- |   |   |
|---|---|
| <input type="checkbox"/> Preliminary Amendment                | <input type="checkbox"/> Information Disclosure Statement           |
| <input type="checkbox"/> Response/Amendment                   | <input type="checkbox"/> Petition Under 37 CFR 1.97(d)(2)           |
| <input type="checkbox"/> Response/Amendment After Final       | <input type="checkbox"/> Formal Drawings                            |
| <input type="checkbox"/> Supplemental Amendment               | <input type="checkbox"/> Declaration Under 37 CFR 1.131             |
| <input type="checkbox"/> Affidavits/Declarations              | <input type="checkbox"/> Declaration Under 37 CFR 1.132             |
| <input type="checkbox"/> Declaration and Power of Attorney    | <input type="checkbox"/> Terminal Disclaimer                        |
| <input type="checkbox"/> Supplemental Declaration             | <input type="checkbox"/> Small Entity Statement                     |
| <input type="checkbox"/> Power of Attorney                    | <input type="checkbox"/> Request for Refund                         |
| <input type="checkbox"/> Change of Correspondence Address     | <input type="checkbox"/> Appeal                                     |
| <input type="checkbox"/> Associate Power of Attorney          | <input checked="" type="checkbox"/> Petition to Correct Filing Date |
| <input checked="" type="checkbox"/> Response to Missing Parts | <input type="checkbox"/> Status Letter                              |

to be filed in the above-identified patent application.

**TOTAL AMOUNT OF PAYMENT: \$ 130.00****METHOD OF PAYMENT**

The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number: 50-0496

Deposit Account Name: Symyx Technologies, Inc.

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17☐ Charge the Issue Fee Set it 37 CFR 1.18 at the Mailing of the Notice of Allowance**FEE CALCULATION****1.EXTRA CLAIM FEES**

		Extra Claims		Fee from below		Fee Paid	
Total Claims		-20**	=		X		
Independent Claims		-3**	=		X		
Multiple Dependent Claims							

\*\*or number previously paid, if greater; For Reissues, see below

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent
				<b>SUBTOTAL (2) (\$)</b>

## 2. ADDITIONAL FEES

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge – late filing fee or oath	130.00
127	50	227	25	Surcharge – late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive – unavoidable	
141	1,240	241	620	Petition to revive – unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	180	122	180	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Statement	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	710	246	355	Filing a submission after final rejection (37 CFR 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR 1.129(b))	
Other fee (specify)					
SUBTOTAL (3)					(\$)
					130.00

\*Reduced by Basic Filing Fee Paid

Respectfully submitted,

Date: \_\_\_\_\_

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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/781,104	02/08/2001	Gary M. Diamond	2000-015R1

CONFIRMATION NO. 2965

FORMALITIES LETTER



\*OC000000005866506\*

22905  
SYMYX TECHNOLOGIES INC  
LEGAL DEPARTMENT  
3100 CENTRAL EXPRESS  
SANTA CLARA, CA 95051

Date Mailed: 03/15/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice MUST be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

04/25/2001 MBERHE 00000108 500496 09781104

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